

**UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK**

MARYLEBONE PCC LIMITED—ROSE 2
FUND ON BEHALF OF ITSELF AND ALL
SIMILARLY SITUATED PERSONS,

Plaintiff,

-against-

MILLENNIUM GLOBAL INVESTMENTS
LTD., MILLENNIUM ASSET
MANAGEMENT LTD., MICHAEL R.
BALBOA, GLOBEOP FINANCIAL
SERVICES LLC, GLOBEOP FINANCIAL
SERVICES LTD., SS&C TECHNOLOGIES,
INC., BCP SECURITIES LLC and XYZ
CORP.,

Defendants.

Case No. 12-cv-3835 (PAC)

CERTIFICATE OF SERVICE

I, Douglas I. Cuthbertson, an attorney admitted to practice before this Court and co-counsel for Plaintiff Marylebone PCC Limited – Rose 2 Fund in the above-captioned action, hereby certify that I caused the following documents to be served on Defendants Michael R. Balboa. at 251 Altessa Blvd., Melville, NY, 11747 via overnight delivery service on February, 19, 2014.

1. Notice of Motion for Preliminary Approval of the Combined Class Action Settlement (Dkt. No. 71);
2. Plaintiff's Memorandum of Law in Support of Plaintiff's Unopposed Motion for Preliminary Approval of the combined Class Action Settlement (Dkt. No. 72); and
3. Declaration of Nicholas Diamand in Support of Plaintiff's Unopposed Motion for Preliminary Approval of Settlement (Dkt. No. 73).

In addition, I caused the same documents to be sent via overnight delivery service to Joseph Tacopina, counsel for Defendant Balboa in two related actions in this Court in which Balboa is a defendant, at Tacopina, Siegel & Turano, 275 Madison Ave., New York, NY, 10016.

Dated: New York, New York
February 20, 2014



Douglas I. Cuthbertson